

PATENT  
#01-0367-UNI  
Case #F7590

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LB  
12/18/02

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Van Oorschot et al.  
Deposited: February 8, 2002  
For: PROCESS FOR THE PREPARATION OF ONE OR MORE STATINS BY  
FERMENTATION

Edgewater, New Jersey 07020  
February 8, 2002

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

With regard to the above-identified application filed concurrently herewith, please  
amend the following:

**In the Claims:**

Please enter the following amended claims:

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4. (Amended) Process according to claim 1, wherein the statins producing fungus  
is a *Monascus* fungus.
5. (Amended) Process according to claim 1, wherein an amount of oil being at  
least 5 wt.% oil is present in the substrate during fermentation.
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